



03/18/04

2871#

PATENT

Customer Number: 22,852  
Attorney Docket No. 02860.0671

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
Hiroki UMEDA et al. ) Group Art Unit: 2871  
Application No.: 09/819,800 ) Examiner: Jeanne A. Di Grazio  
Filed: March 29, 2001 )  
For: OPTICAL COMPENSATION )  
SHEET AND LIQUID CRYSTAL )  
DISPLAY )

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**INFORMATION DISCLOSURE STATEMENT**

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(c), Applicants bring to the attention of the Examiner the documents listed on the attached Form PTO 1449. This Information Disclosure Statement is being filed after the events recited in Section 1.97(b) but, to the undersigned's knowledge, before the mailing date of a Final Office Action, a Notice of Allowance, or an action that otherwise closes prosecution. Under the provisions of 37 C.F.R. § 1.97(c), this Information Disclosure Statement is accompanied by a fee of \$180.00 as specified by Section 1.17(p). Please charge \$180.00 to Deposit Account No. 06-0916 for the fee.

Copies of the listed documents are attached. Applicants respectfully request that the Examiner consider the listed documents and evidence that consideration by making appropriate notations on the attached form.

03/19/2004 SZWDIE1 00000053 060916 09819800

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In lieu of a statement of relevance or translation of the non-English document(s), Applicants enclose an English language version of the search report from the European Patent Office in a corresponding application citing these documents and setting forth their relevance.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and Applicants determine that the cited documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

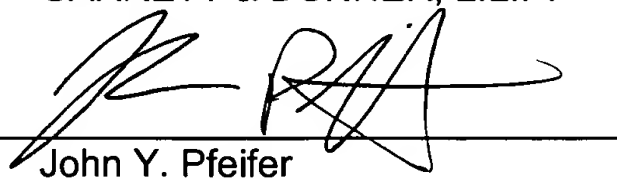
Applicants further reserve the right to take appropriate action to establish the patentability of the claimed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any additional fee due in connection with the filing of this Statement, please charge the fee to Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: March 16, 2004

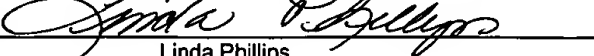
By:   
John Y. Pfeifer  
Reg. No. 52,120

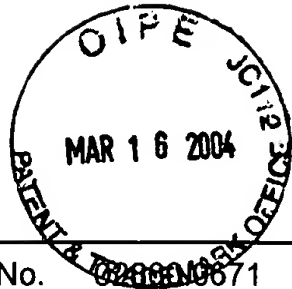
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I hereby certify that this correspondence is being deposited with the United States Postal Services "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10, addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date below.

Date: March 16, 2004

Signed:   
Linda Phillips



OMB No. 0651-0011

## INFORMATION DISCLOSURE CITATION

Atty. Docket No.	028860671	Appln. No.	09/819,800
Applicant	Hiroki UMEDA et al.		
Filing Date	March 29, 2001	Group:	2871

## U.S. PATENT DOCUMENTS

Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate
	5,978,055	Nov 2, 1999	Van De Witte et al.			
	6,115,095	Sep 5, 2000	Suzuki et al.			

## FOREIGN PATENT DOCUMENTS

Document Number	Publication Date	Country	Class	Sub Class	Translation Yes or No
EP 0 887 691 A2	Dec 30, 1998	EPO			
EP 0 926 533 A2	Jun 30, 1999	EPO			
WO 98/12584	Mar 26, 1998	PCT			
WO 01/20392 A1	Mar 22, 2001	PCT			
JP 11133408	May 21, 1999	JP			No; (but US 6,115,095 is cited and enclosed and is a family member)

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


Examiner	Date Considered
*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	
Form PTO 1449	Patent and Trademark Office - U.S. Department of Commerce